



Learning with Purpose

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Jacqueline F. Moloney
Chancellor

OFFICE OF THE CHANCELLOR

UMass Lowell is fully committed to compliance with the export control laws and regulations of the United States, and has established a comprehensive export control compliance program as part of this commitment. The UMass Lowell Office of Institutional Compliance (OIC) is charged with oversight of export control matters on campus (www.uml.edu/Research/OIC/).

U.S. export controls are increasingly complex, and include laws and regulations enforced by multiple government agencies. Among these are the Departments of Commerce (EAR: Export Administration Regulations), State (ITAR: International Traffic in Arms Regulations), and Treasury (OFAC: Office of Foreign Assets Control). Export controls include restrictions on various activities, such as:

- the physical export of items and technology;
- the disclosure of certain information to foreign nationals, even within the U.S. ("deemed exports");
- providing certain training to foreign nationals or entities; and
- providing information or services to sanctioned persons and countries.

Accordingly, there are multiple activities at UMass Lowell that require OIC review and approval to ensure compliance with these controls. Included among these activities are ANY physical shipment or mailing from the U.S., all international travel, visits by foreign persons to UMass Lowell, and international collaborations, to list a few. Activities that are found to be in violation of these laws and regulations are punishable under both civil and criminal sanctions. These penalties can apply to both UMass Lowell and the individual violator.

It is the mission and policy of UMass Lowell to conduct research and educational activities openly and without prohibitions on participation or dissemination, whenever possible. As a result, the requirements of the export control laws can often be satisfied through reliance on available exclusions for educational information and information in the public domain. OIC can assist faculty and staff with determining if the fundamental research exclusion (FRE) or other exemption applies or, if needed, provide assistance with an application for an export license.

It is the responsibility of UMass Lowell faculty, administrators, and staff to be aware of and comply with these federal laws and all UMass Lowell policies and procedures. UMass Lowell's Office of Institutional Compliance offers online or in-person export control training, and is available to assist all faculty, staff and students to interpret and meet the regulations. We encourage you to contact OIC with any questions, and in advance of any anticipated activities that might be impacted by these regulations.


Jacqueline Moloney, Chancellor

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