UMass Lowell is fully committed to compliance with the export control laws and regulations of the
United States, and has established a comprehensive export control compliance program as part of this
commitment. The UMass Lowell Office of Institutional Compliance (OIC) is charged with oversight of
export control matters on campus (www.uml.edu/Research/OIC).

U.S. export controls are increasingly complex, and include laws and regulations enforced by multiple
government agencies. Among these are the Departments of Commerce (EAR: Export Administration
Regulations), State (ITAR: International Traffic in Arms Regulations), and Treasury (OFAC: Office of
Foreign Assets Control). Export controls include restrictions on various activities, such as:

- the physical export of items and technology;
- the disclosure of certain information to foreign nationals, even within the U.S. ("deemed
  exports");
- providing certain training to foreign nationals or entities; and
- providing information or services to sanctioned persons and countries.

Accordingly, there are multiple activities at UMass Lowell that require OIC review and approval to
ensure compliance with these controls. Included among these activities are ANY physical shipment or
mailing from the U.S., all international travel, visits by foreign persons to UMass Lowell, and
international collaborations, to list a few. Activities that are found to be in violation of these laws and
regulations are punishable under both civil and criminal sanctions. These penalties can apply to both
UMass Lowell and the individual violator.

It is the mission and policy of UMass Lowell to conduct research and educational activities openly and
without prohibitions on participation or dissemination, whenever possible. As a result, the requirements of
the export control laws can often be satisfied through reliance on available exclusions for educational
information and information in the public domain. OIC can assist faculty and staff with determining if the
fundamental research exclusion (FRE) or other exemption applies or, if needed, provide assistance with an
application for an export license.

It is the responsibility of UMass Lowell faculty, administrators, and staff to be aware of and comply with
these federal laws and all UMass Lowell policies and procedures. UMass Lowell’s Office of Institutional
Compliance offers online or in-person export control training, and is available to assist all faculty, staff and
students to interpret and meet the regulations. We encourage you to contact OIC with any questions, and
in advance of any anticipated activities that might be impacted by these regulations.

Jaqueline F. Moloney, Chancellor

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7/12/18