

University of Massachusetts Lowell

Request for Pre-Award Spending

This form authorizes expenditures in advance of an official award notice from a Sponsor. It is used for expenditures on new grants or existing grants that have terminated for which we have not yet received official award notice of committed funds. Pre award spending must be allowable by Sponsor and all expenditures must be in compliance with Institutional and Sponsor policies. By signing below, the Principal Investigator certifies that the level of effort, people and objectives of the project as proposed or negotiated will not change.

I. PRINCIPAL INVESTIGATOR and PROJECT INFORMATION

PI Name Dept. Name

Project Title

Sponsor Pre-award Amount:

Proposal/Award No.: Period:

Explanation of
need for Pre-
Award Spending:

II. COMPLIANCE INFORMATION/CERTIFICATIONS

| | | | | | | | |
|------------------|--|-------------------|----------------------|----------------|----------------------|---------|-----------------------|
| Human Subjects? | <input type="radio"/> Yes <input type="radio"/> No | Docket/Protocol # | <input type="text"/> | Approval Date: | <input type="text"/> | Pending | <input type="radio"/> |
| Animal Subjects? | <input type="radio"/> Yes <input type="radio"/> No | | <input type="text"/> | | <input type="text"/> | | <input type="radio"/> |

III. DECLARATIONS and APPROVALS

This authorization will be considered as a commitment against the account referenced below. Spending is requested to start as of the date below. In the event the formal award is not received, or expenditures processed are determined to be unallowable due to the terms of the award, this document serves as authorization to automatically transfer all expenditures incurred to the commitment account below.

Requested Spending Start Date:

Overhead Account #

Principal Investigator

Department Chair (Required if Overhead Account is Departmental Account)