OFFICE OF THE PROVOST

UMass Lowell is fully committed to compliance with the export control laws and regulations of the United States, and has established a comprehensive export control compliance program as part of this commitment. The UMass Lowell Office of Institutional Compliance (OIC) is charged with oversight of export control matters on campus (www.uml.edu/Research/OIC/).

U.S. export controls are complex, and include Commerce (EAR: Export Administration Regulations), State (ITAR: International Traffic in Arms Regulations), and Treasury Department (OFAC: Office of Foreign Assets Control) restrictions on, for example:

- the physical export of information, items, and technology (even for fundamental research);
- the disclosure of certain information to foreign nationals within the U.S. ("deemed exports");
- providing certain training to foreign nationals or entities; and
- providing services to sanctioned persons and countries.

Examples of activities that require Office of Institutional Compliance review and approval include ANY physical export outside of the U.S., international travel, sponsor letters to support visa applications, and international collaborations to list a few. Activities that are found to be in violation of these laws and regulations can be punished by both civil and criminal sanctions, which can be imposed against both UMass Lowell and the individual violator.

It is the mission and policy of UMass Lowell to conduct instruction, research, and services openly and without prohibitions on the publication and dissemination of the results of academic and research activities. As a result, the requirements of the export control laws can often be satisfied through reliance on available exclusions for educational information and information that is publicly available or in the public domain. OIC can assist faculty and staff with determining if the fundamental research exclusion (FRE) applies or, if needed, provide assistance with an application for an export license.

It is the responsibility of UMass Lowell faculty, administrators, and staff to be aware of and comply with these federal laws and all UMass Lowell policies and procedures. UMass Lowell’s Office of Institutional Compliance offers online or in-person export control training, and is available to assist all faculty, staff and students. I encourage you to contact them with any questions, and in advance of any anticipated activities that might be relevant to these regulations.

Ahmed Abdelal, Provost

Dec. 18, 2012

Date