

## **University of Massachusetts Lowell FY 2011 Operating Budget Narrative**

### **Overview**

The Fiscal Year 2011 Operating Budget Statement for the University of Massachusetts Lowell (UML) presents a general plan for the year and outlines our priorities, resources and planned use of funds in FY 2011.

The document considers the FY2009 actual Financial Statements, the preliminary results for FY2010 and the projected outcome for FY2011 based on the campus budget planning and parameters established by the President's Office.

Chancellor Meehan has been working with Lowell's faculty, staff and administration since 2008 to adjust to the Commonwealth's fiscal crisis while maintaining the focus on building a new vision and strategic plan for the University. Despite the challenges, the goal continues to be to strive for excellence through strategic investment in our students, faculty and facilities.

### **UMass Lowell Mission and the UMass Lowell 2020 Strategic Plan**

UMass Lowell's mission is "to enhance the intellectual, personal and cultural development of students through excellent, affordable educational programs," and to "meet the needs of the Commonwealth today and into the future and support the development of sustainable technologies and communities." UML seeks to fulfill this mission every day through our teaching and learning, research and scholarship, and outreach and engagement.

The campus is completing the first phase of the strategic planning effort. UMass Lowell 2020 will serve as the University's next generation strategic plan, providing a blueprint for how the campus will achieve national and international recognition as a world-class institution over the next decade. Almost 200 faculty, staff, and students have been assessing the University's current academic, research, partnership, fiscal and facilities status and drafting strategies to improve the campus's achievement, reputation and rankings as expressed in the following ways:

- Strengthen undergraduate education through the enhancing of experiential and interdisciplinary learning, learning through discovery, and further development of the Honors program.
- Continue to increase retention and graduation rates. Work to increase enrollment of transfer students through improved services and through enhanced articulation (maintain freshman enrollment but work to increase selectivity). Increase undergraduate enrollment through emphasis on student success (continuation rate is projected to increase in fall 2010 by 6.5%).
- Strengthen graduate education through enhanced marketing and recruitment of existing Master's and Doctoral programs and through marketing of the newly developed Professional Science Programs (PSM). Strengthen existing collaborative efforts with UMass institutions. Work to increase fee-paying Master's students through aggressive recruitment regionally, nationally and internationally with emphasis on the new 13 PSM programs.
- Strengthen scholarship and research and enhance extramural funding from all sources including grants and contracts and fundraising.
- Strengthen partnerships with the urban community through collaborative work on societal challenges and through enhancing economic development.

- Strengthen partnerships with business and industry, building on UMass Lowell's hallmark in innovation.
- Continue to develop strong partnerships with strategic international institutions, with emphasis on in-depth experiences for students and opportunities for joint/dual academic programs and collaboration in research and development. Recruit aggressively nationally and internationally (non-resident students are projected to increase; international students are similarly projected to increase for the first year students and through the UMass Lowell NAVITAS International Center).
- Enhance cultural and ethnic diversity among students, faculty, and academic leadership.
- Continue to systematically assess viability and effectiveness of all academic programs with an overarching goal of achieving effective deployment of faculty effort (e.g., reorganization of RESD).

An external advisory committee, made up of alumni and local community leaders has been engaged in the strategic plan.

Consistent with the goals of the strategic plan, UMass Lowell appointed a Financial Planning and Budget Review Committee to increase transparency; open up how the campus makes short and long term operating and capital budgeting decisions; and help to empower managers while increasing the accountability and responsibility over the management of university resources. The Committee has conducted its FY2011 budget hearings and is reviewing all major departmental budgets, including goals, strategic priorities, efficiency ideas, and contingency planning depending upon available financial resources. Obviously, final budget decisions for FY2011 will depend considerably on the final outcome of the state budget.

### **FY2010 Review**

The campus made progress on many of the Committee's FY2010 recommendations including reorganizations of the Information Technology, Facilities, business services and athletic units as well as expansion of the international student and scholar services program and a new international recruitment partnership with Navitas.

A significant development in FY2010 was the impact of state budget cuts and the receipt of federal stimulus funds. UMASS Lowell was allocated a total of \$22.66 million in ARRA funding in FY2010. Initially the campus received \$16.49 million for general operations and \$1.34 million to support the costs of the TURI line item that had been rolled into the University's maintenance appropriation. The University made an additional \$4.83 million of ARRA funding available to the campus to offset the October 9C cut to the campus state appropriation allocation.

The ARRA funds were not expected to last more than a fiscal year and therefore the campus made the decision to focus the use of these funds on one-time investments that would advance the strategic plan. Operationally, UMASS Lowell used the actual ARRA funds to support salary and fringe benefit costs of campus employees and has targeted the campus funds freed up by the federal stimulus monies on one-time projects that will not place additional pressures on the operating budget. Projects that are being funded are those that will improve the overall quality of academic programs and enhance the entire student learning and living experience, such as:

- Support for faculty and student work including smart classroom upgrades, lab equipment investments and library materials;

- Funding for capital repairs and renewal, academic office renovations, energy efficiency and space inventory systems;
- Transportation System improvements;
- Marketing and branding investments to improve recruiting and external relations including web enhancements and content management system upgrades, signage and way-finding improvement;
- Information Technology including academic computing, network support, audio visual and video conferencing upgrades, training, document management and workflow systems to improve processing efficiencies;
- Public Safety investments including police equipment upgrades, emergency phone, blue light and security camera upgrades.

In addition to these investment activities, the University continued to make progress in the following areas:

#### Student Success:

- UMass Lowell's six-year graduation rate has increased in the last two years from 44 percent in 2007 to 52.6 percent in 2009. UMass Lowell graduated the largest number of students in its history in June 2009. More than 2,000 degrees were awarded.
- One-year retention among freshmen is up six percent from 75 percent in Fall 2007 to 81 percent in Fall 2009.
- The four-year continuation rate increased from 63.7 percent to 69.1 percent in the last two years. Success with transfer students is also solid – a 78 percent one-year continuation rate and a 70 percent four-year graduation rate.

The progress on these indicators of student success can be attributed to deliberate steps and investments that the campus has made including:

- Establishing learning communities that group freshmen with similar majors or interests together in core classes that bring them into direct contact with professors in their departments (something that otherwise might not happen until junior year);
- Seminars for first-year students designed to teach them how to translate their high-school learning skills into college success and build life skills to ease the adjustment of being on their own for the first time;
- Creating programs like “calculus camps” that meet over school breaks to help freshmen who are having difficulty in math tackle one of their toughest subjects and allow them to take the next step in their studies;
- Maintaining small class sizes, with no more than 50 students per section;
- Increasing the amount of university housing – more than 700 new beds have been added in two years, including the purchase of a downtown hotel that has the added benefit of a positive economic impact on the community – to allow more students to live on campus and as national studies have shown, improve their chances for success.

#### Growing Enrollment:

- UMass Lowell is improving student success while increasing enrollment by freshmen and transfers.
- This fall, UMass Lowell welcomed the biggest group of incoming students ever – a total of 2,457 students. Over the last two years, the campus has experienced a 24 percent increase in new students.
- Graduate enrollment has also increased. There is a 10 percent increase in combined doctoral and master's degree enrollments.
- Overall student enrollment across all classes has increased more than 20 percent in the last two years.
- Diversity is also increasing on campus. Over the past two years, there has been a 54 percent increase in new students who identify themselves as students of color.

- UMass Lowell is increasing enrollment while maintaining small class sizes, which are important to student success. The university has maintained a 14-to-1 student to faculty ratio.

#### Increased Residential Life Opportunities and Population:

- More students than ever before are living on campus, an important factor because national research has found that residential students are more successful academically.
- This year, 2,900 students are living in university housing, an increase of 13 percent over last year. More than 700 new beds have been added in the last two years, including the beds added with the purchase of the UMass Lowell Inn and Conference Center in 2009.

#### Growing the University:

- UMass Lowell has targeted \$750,000 in stimulus-backed funding to installing new “smart classrooms,” bringing the total to more than 150 technology enhanced classrooms. With the completion of this work, Lowell will have 100 percent smart classrooms on campus. The smart classrooms will allow faculty to use all available media – the Internet, collaboration tools, audio and video – to explain complex topics to students.
- UMass Lowell has made two important acquisitions this academic year that both help the university meet the needs and expectations of our students but also play a critical role in the community. The university acquired the former DoubleTree Hotel located in downtown Lowell and converted it to a mix of housing for 400 students and event and conference space. Now known as the UMass Lowell Inn and Conference Center, the building brings our students directly into the vibrant downtown of Lowell.

Major physical improvements to the building were made immediately after the acquisition was finalized and more will be required in the coming year to maximize the use and benefit to the entire University community and the city. The total acquisition and renovation costs for the ICC are \$25 million including the \$21 million borrowed through UMBA.

This acquisition is another example of how UMass Lowell is enhancing life for students, who are increasingly choosing university housing for the optimum living and learning experience. The quality of campus life is another key to student success.

- The university recently finalized the purchase of the Tsongas Arena. Now called the Tsongas Center at UMass Lowell, the facility was purchased from the city of Lowell. The university was able to acquire the facility, recently valued at \$24 million, for \$1 and adjacent property for development for \$800,000.
- UMass Lowell will break ground in June on the Emerging Technologies and Innovation Center, the first new academic building on campus in 30 years. The new \$70 million state of the art facility will be home to cutting-edge research being conducted at the university, including nanotechnology and nanomanufacturing. Nano researchers work on critical defense projects, such as developing sensors that will help troops in the field detect the presence of biological weapons, and with private companies that want to apply the power of nanotechnology to their operations. This project is funded by state and UMBA funds.
- The campus will also break ground on the South Campus academic building in the fall. This \$40 million building will house nursing, psychology and criminal justice programs. The state is funding and managing this project.

### Growing International Presence:

- UMass Lowell is creating virtual centers around the world, including in Ireland and Israel, through new partnerships. The University is working with universities in both nations, creating the new Center for Irish Partnerships and the Center for Middle East Peace, Development and Culture.

These partnerships have already resulted in an international Emerging Technologies Conference being held at UMass Lowell and plans are underway for a new degree program in peace and conflict studies.

- In addition, the campus has signed cooperative agreements with Queens University in Belfast and the University of Haifa in Israel.
- Earlier this year the University signed an affiliation agreement with Navitas to create a center that will aggressively recruit international undergraduate and graduate students. This partnership will be coordinated with the expanding International Student and Scholars Office and Corporate and Continuing Studies.

### Resource Management:

- Early in the fiscal year, the campus designated \$2 million of FY2009 unrestricted fund balance as quasi-endowment, greatly increasing the University's performance in this area while also maintaining the flexibility that a quasi-endowment reserve offers.<sup>1</sup>
- The administration and finance area has been significantly reorganized in the past eighteen months with new executive leadership in facilities and master planning, public safety, information technology and business and financial services. The research administration and commercial ventures operation is also being reorganized to better support this strategic growth area, maintain compliance levels and maximize the benefits of the ETIC facility when it comes on line. These administrative areas have been under-resourced and under-managed in the past. The new leadership team is charged with developing unit plans, organizational structures, policies and practices that will support the UMass Lowell 2020 strategic plan.
- The administration has identified more than twenty efficiency projects that will be completed over the next eighteen months that are aimed at improving the delivery of administrative and information technology services. Many of these projects are being funded from ARRA-backed resources and include: a document imaging project (coordinated with the system office); expanding HR self-service functionality; developing a space inventory system to support the indirect cost recovery rate and a rational deployment of teaching, research and support space.
- In October of 2009, the University borrowed \$79 million through UMBA to finance construction of the ETIC, the purchase and renovation of the Inn & Conference Center (ICC), renovation and renewal of campus residential life facilities, the renovation of the Tsongas Center and the initiation of a comprehensive energy efficiency capital improvement program. These funds are added to the \$42.5 million of funds already borrowed through earlier bond issues in 2006 and 2008.

The campus will spend approximately \$15 million this calendar year (FY10 and FY11) from UMBA funds and ARRA-backed sources on deferred maintenance, site and landscape improvement, energy and space renovation projects. In addition, ETIC, improvements to the Tsongas Center and ICC, and renovations to the Wannalancit facility for the M2D2 program will begin this year. All of these projects are UMBA managed and funded.

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<sup>1</sup> In addition to designating \$2 million from operations as quasi-endowment, the campus transferred \$3 million of quasi-endowment held on campus to the Foundation.

- Rigorous attention to academic program planning will continue to focus on achieving the vision of national and international recognition as a world class institution set by the Chancellor. The Provost is using the following criteria by which program expansion, reorganization and reallocation proposals are reviewed: numbers of majors served, annual graduates produced, associated credit hours taught, research and scholarly productivity, and service productivity.

### FY2010 Financial Results:

The University is projecting a \$14.4 million operating surplus for FY2010 or a 5.4% operating margin. More than \$9.3 million of the operating margin is campus designated ARRA-backed spending for one-time projects and initiatives that will extend into FY2011. In addition, enrollment levels exceeded initial budget projections providing additional surplus. The FY2009 operating margin was 2.7% by comparison.

### FY 2011 Budget Assumptions

#### Revenues

For planning purposes, the University of Massachusetts Lowell budget assumes a state appropriation allocation consistent with the guidelines which average the recommendations of the House and Senate for the University as a whole. This level of state support is more than last year's final state funds allocation, however, the University anticipates a significant reduction in ARRA funding in FY2011. The combined loss of state and ARRA funds is projected to be more than 9% or \$7.8 million.

Consistent with the guidelines, the campus is projecting a \$7.5 million allocation of ARRA funds will be targeted primarily towards one-time strategic investments consistent with the campus approach in FY2010. The campus also expects to fully expend the funds that carry over from FY2010 on the projects supported by the campus funds made available through allocation of ARRA funds last year.

The Lowell campus anticipates a six percent increase in full-time equivalent undergraduate and graduate enrollment for Fall 2010. This enrollment growth, the growth in Continuing Studies and Corporate Education (CSCE) programs will result in an estimated 6% increase in tuition and fee revenues. As previously noted, these increases will be offset by increased financial aid spending to maintain the University's commitment to affordability. The enrollment growth and campus efforts to expand the residential experience for students are projected to generate a 2% increase in revenues from Residential Housing and Food Services. Grant and contract revenue from all sources is projected to increase by 8% over FY2010.

#### Expenditures

Projected cost increases anticipated for FY2011 include \$1.5 million increase in debt interest payments; energy and utility costs of more than \$0.3 million; and \$9.0 million in fringe benefit costs. The campus also projects increased spending for facility renewal through the one-time use of federal stimulus funds. This is an area that is in need of serious attention for UMass Lowell to be able to move forward with its strategic plan.

The campus has identified 21 additional new faculty and up to 40 non-tenured track faculty hires to meet enrollment growth and program needs. Staffing levels are also proposed to increase as vacancies have occurred in recent years. The campus needs to staff up areas of critical importance to the strategic plan (e.g. research administration, student services, business enterprises, and advancement). Finally, in addition to these targeted increases the campus projects modest inflationary increases in other areas consistent with the system guidelines.

The overall result of the changes in revenues and expenses is projected to be a 0.5% operating margin of \$1.5 million for FY2011.

Should the University's final FY2010 and FY2011 budget outcome prove more favorable, the campus will continue the practice of reviewing and targeting surpluses toward much need facility improvements, quasi-endowment growth and other strategic investments to enhance the growth and development of the student experience and effectiveness of our world-class faculty.

### **Summary**

The University of Massachusetts Lowell has embarked on an exciting plan to grow enrollment, construct new academic/research buildings, expand research activity, develop a comprehensive energy management program and engage all segments of the campus in a comprehensive strategic planning effort. Over the past couple of years, the campus has made great progress in achieving financial sustainability by reestablishing adequate financial reserves while reallocating increased funds toward academic quality and student success initiatives. We still face significant financial challenges in improving our facilities and increasing our faculty and staffing levels to that of our peer institutions of similar size and complexity. These challenges are difficult but not insurmountable. The campus will continue to take the actions necessary to maintain a positive annual financial outcome.

**Table 1**  
**University of Massachusetts**  
**Lowell Campus**  
**STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN NET ASSETS**  
**Fiscal Year 2011 Operating Budget Statement**  
*(in thousands of dollars)*

			FY2010 BUDGETED			
	FY08 Actuals	FY09 Actuals	FY10 Projected Budget-Accrual/Adjusted Basis*	FY11 Budget-Accrual Basis	FY10 to FY11 Change	
<b>REVENUES</b>						
<b>Operating Revenues</b>						
Tuition and Fees	\$92,395	\$105,446	\$126,595	\$134,620	\$8,025	6%
Scholarship allowance	(\$14,152)	(\$17,029)	(\$28,624)	(\$27,438)	\$1,186	-4%
Tuition and Fees (net of scholarship allowances)	\$78,243	\$88,417	\$97,971	\$107,182	\$9,211	9%
Federal Grants and Contracts	\$23,352	\$24,674	\$29,338	\$31,618	\$2,280	8%
State Grants and Contracts	\$4,879	\$5,385	\$5,263	\$5,672	\$409	8%
Local Grants and Contracts	\$342	\$471	\$310	\$334	\$24	8%
Private Grants and Contracts	\$12,444	\$13,083	\$10,850	\$11,693	\$843	8%
Sales & Service, Educational	\$905	\$1,244	\$1,110	\$1,166	\$56	5%
Auxiliary Enterprises	\$10,557	\$13,256	\$26,019	\$26,430	\$411	2%
Other Operating Revenues:						
Sales & Service, Independent Operations						
Sales & Service, Public Service Activities						
Other	\$3,691	\$4,235	\$4,529	\$4,755	\$226	5%
<b>Total Operating Revenues</b>	<b>\$134,413</b>	<b>\$150,765</b>	<b>\$175,390</b>	<b>\$188,850</b>	<b>\$13,460</b>	<b>8%</b>
<b>EXPENSES</b>						
<b>Operating Expenses</b>						
<b>Educational and General</b>						
Instruction	\$81,001	\$78,606	\$81,957	\$89,825	\$7,868	10%
Research	\$32,961	\$35,171	\$35,871	\$40,242	\$4,371	12%
Public Service	\$3,856	\$3,174	\$3,236	\$3,513	\$277	9%
Academic Support	\$20,831	\$19,026	\$20,197	\$21,533	\$1,336	7%
Student Services	\$15,854	\$15,457	\$15,866	\$16,807	\$941	6%
Institutional Support	\$28,912	\$30,071	\$31,366	\$32,669	\$1,303	4%
Operation and Maintenance of Plant	\$19,739	\$17,767	\$20,669	\$23,131	\$2,462	12%
Depreciation and Amortization	\$14,206	\$14,771	\$16,395	\$18,153	\$1,758	11%
Scholarships and Fellowships	\$4,091	\$4,480	\$5,279	\$5,740	\$461	9%
<b>Auxiliary Enterprises</b>	<b>\$6,104</b>	<b>\$8,756</b>	<b>\$15,143</b>	<b>\$15,980</b>	<b>\$837</b>	<b>6%</b>
<b>Other Expenditures</b>						
Independent Operations						
Public Service Activities						
<b>Total Operating Expenses</b>	<b>\$227,555</b>	<b>\$227,279</b>	<b>\$245,979</b>	<b>\$267,593</b>	<b>\$21,614</b>	<b>9%</b>
<b>Operating Income/(Loss)</b>	<b>(\$93,142)</b>	<b>(\$76,514)</b>	<b>(\$70,589)</b>	<b>(\$78,743)</b>	<b>(\$8,154)</b>	<b>12%</b>
<b>NONOPERATING REVENUES/(EXPENSES)</b>						
Federal Appropriations	\$0	\$0			\$0	
State Appropriations	\$97,490	\$82,032	\$64,244	\$75,599	\$11,355	18%
Gifts	\$3,778	\$3,245	\$1,808	\$1,982	\$174	10%
Investment Return	\$1,762	(\$120)	\$447	\$860	\$413	92%
Endowment Return	\$699	\$646	\$394	\$636	\$242	61%
Interest on Indebtedness	(\$2,403)	(\$3,194)	(\$5,159)	(\$6,702)	(\$1,543)	30%
Other Nonoperating Income	\$319	\$217	\$391	\$410	\$19	5%
Revenue from Stimulus Funds			\$22,667	\$7,447	(\$15,220)	-67%
<b>Net Nonoperating Revenues</b>	<b>\$101,645</b>	<b>\$82,826</b>	<b>\$84,792</b>	<b>\$80,232</b>	<b>(\$4,560)</b>	<b>-5%</b>
<b>Income Before Other Revenues, Expenses, Gains, and Losses</b>	<b>\$8,503</b>	<b>\$6,312</b>	<b>\$14,203</b>	<b>\$1,489</b>	<b>(\$12,714)</b>	<b>-90%</b>
Capital Appropriations	\$7,023	\$9,971	\$2,000	\$20,000	\$18,000	900%
Capital Gifts, Grants and Contracts		\$0	\$14,800	\$850	(\$13,950)	-94%
University Related Transactions	\$0	\$0			\$0	
Disposal of Plant Facilities	(\$1,466)	(\$1,040)	(\$1,411)	(\$1,386)	\$25	-2%
Other Additions/Deductions	\$332	(\$313)	(\$692)	(\$50)	\$642	-93%
<b>Total Other Revenues, Expenses, Gains &amp; Losses</b>	<b>\$5,889</b>	<b>\$8,618</b>	<b>\$14,697</b>	<b>\$19,414</b>	<b>\$4,717</b>	<b>32%</b>
<b>Total Increase in Net Assets</b>	<b>\$14,392</b>	<b>\$14,930</b>	<b>\$28,900</b>	<b>\$20,903</b>	<b>(\$7,997)</b>	<b>-28%</b>
<b>NET ASSETS</b>						
Net Assets at Beginning of Year	\$98,886	\$113,278	\$128,208	\$157,108	\$28,900	23%
<b>Net Assets at End of Year</b>	<b>\$113,278</b>	<b>\$128,208</b>	<b>\$157,108</b>	<b>\$178,011</b>	<b>\$20,903</b>	<b>13%</b>

**Table 2**  
**University of Massachusetts**  
**Lowell Campus**  
**Fiscal Year 2011 Operating Budget Statement**  
**SPENDING BY TYPE OF EXPENSE (NATURAL CLASSIFICATION)**  
*(in thousands of dollars)*

<b>EXPENSE CATEGORY</b>	<b>FY08 Actuals</b>	<b>FY09 Actuals</b>	<b>FY10 Projected</b>	<b>FY11 Budgeted</b>	<b>\$ Change FY10 - 11</b>	<b>% Change FY10- 11</b>
Salaries and Wages	\$119,213	\$120,820	\$123,394	\$130,430	\$7,036	5.7%
Employee Fringe Benefits	\$37,329	\$24,144	\$26,786	\$35,845	\$9,059	33.8%
Student Aid	\$4,091	\$4,480	\$5,279	\$5,740	\$461	8.7%
Utilities	\$8,356	\$7,908	\$9,143	\$9,509	\$366	4.0%
Supplies and Other Services	\$44,360	\$55,156	\$64,982	\$67,916	\$2,934	4.5%
Depreciation	\$14,206	\$14,771	\$16,395	\$18,153	\$1,758	10.7%
<b>TOTAL</b>	<b>\$227,555</b>	<b>\$227,279</b>	<b>\$245,979</b>	<b>\$267,593</b>	<b>\$21,614</b>	<b>8.8%</b>

\*Total should equal total Operating Expenses from SRECNA

**Table 3**  
**University of Massachusetts**  
**Lowell Campus**  
**Fiscal Year 2011 Operating Budget Statement**  
**SUMMARY OF FULL-TIME EQUIVALENT (FTE) EMPLOYEES\***

	Actual FTEs Fall 2007 (FY2008)	Actual FTEs Fall 2008 (FY2009)	Actual FTEs Fall 2009 (FY2010)	Proj. FTEs Fall 2010 (FY2011)	FTE Change Fall 2009 - 10	% Change Fall 2009 - 10
<b>UNRESTRICTED/DESIGNATED FUNDS</b>						
<b>STATE FUNDED POSITIONS</b>						
Faculty	435.0	434.0	431.0	452.0	21.0	4.9%
Professional Staff	372.2	373.0	362.8	372.8	10.0	2.8%
Classified Staff	151.5	150.3	170.0	184.0	14.0	8.2%
<b>Subtotal, State Funded</b>	<b>958.7</b>	<b>957.3</b>	<b>963.8</b>	<b>1,008.8</b>	<b>45.0</b>	<b>4.7%</b>
<b>NON-STATE FUNDED POSITIONS</b>						
Faculty	6.2	6.0	7.0	7.0	0.0	0.0%
Professional Staff	62.9	54.0	57.0	58.5	1.5	2.6%
Classified Staff	36.0	29.0	20.0	19.0	(1.0)	-5.0%
<b>Subtotal, Non-State Funded</b>	<b>105.1</b>	<b>89.0</b>	<b>84.0</b>	<b>84.5</b>	<b>0.5</b>	<b>0.6%</b>
<b>Total Unrestricted/Designated</b>	<b>1,063.8</b>	<b>1,046.3</b>	<b>1,047.8</b>	<b>1,093.3</b>	<b>45.5</b>	<b>4.3%</b>
<b>RESTRICTED FUNDS</b>						
<b>GRANT FUNDED POSITIONS</b>						
Faculty	7.5	7.0	9.0	9.0	0.0	0.0%
Professional Staff	111.4	124.1	116.0	102.4	(13.6)	-11.7%
Classified Staff	0.0	0.0	0.0	0.0	0.0	0.0%
<b>Subtotal, Grant Funded</b>	<b>118.9</b>	<b>131.1</b>	<b>125.0</b>	<b>111.4</b>	<b>(13.6)</b>	<b>-10.9%</b>
<b>OTHER RESTRICTED POSITIONS (TURI)</b>						
Faculty	0.3	0.0	0.0	0.0	0.0	0.0%
Professional Staff	19.5	12.5	11.7	11.0	(0.7)	-6.0%
Classified Staff	2.5	3.0	0.0	0.0	0.0	0.0%
<b>Subtotal, Other Restricted Funds</b>	<b>22.3</b>	<b>15.5</b>	<b>11.7</b>	<b>11.0</b>	<b>(0.7)</b>	<b>-6.0%</b>
<b>Total Restricted</b>	<b>141.2</b>	<b>146.6</b>	<b>136.7</b>	<b>122.4</b>	<b>(14.3)</b>	<b>-10.5%</b>
<b>TOTAL UNRESTRICTED AND RESTRICTED</b>	<b>1,205.0</b>	<b>1,192.9</b>	<b>1,184.5</b>	<b>1,215.7</b>	<b>31.2</b>	<b>2.6%</b>

\* Includes Full and Part-time employees; student and other hourly employees not included. All employee counts from fall census data (Oct.).

**Table 4**  
**University of Massachusetts**  
**Lowell Campus**  
**Fiscal Year 2011 Operating Budget Statement**  
**HEADCOUNT AND FTE ENROLLMENT**

	UNDERGRADUATE		GRADUATE		TOTAL	
	HC	FTE	HC	FTE	HC	FTE
Fall 2010 projected	11,328	9,099	3,118	1,941	14,446	11,040
Fall 2009	10,548	8,472	3,054	1,901	13,602	10,373
Fall 2008	9,706	7,659	2,765	1,755	12,471	9,414
Fall 2007	8,879	6,883	2,756	1,736	11,635	8,619