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It is the policy of the University of Massachusetts Lowell (UML) to comply fully with the U.S. export control laws and regulations. The UML Office of Institutional Compliance is charged with oversight of export control matters and any export control questions or issues should be brought to their attention.

It is also the mission and policy of the University of Massachusetts Lowell (UML) to conduct instruction, research, and services openly and without prohibitions on the publication and dissemination of the results of academic and research activities. As a result, in many instances the requirements of the export control laws can be appropriately satisfied through reliance on available exclusions from export controls, such as exclusions for educational information, and exclusions for information that is publicly available or in the public domain.

Chief among these is the fundamental research exclusion (FRE) under the EAR and ITAR. Fundamental research means basic or applied research in science and engineering, the results of which are ordinarily published and shared broadly within the scientific community. Restrictions on publication of research results (other than prepublication reviews by research sponsors to prevent inadvertent disclosure of proprietary information provided to the researcher by the sponsor or to insure that publication will not compromise patent rights of the sponsor) and personnel access restrictions (such as to foreign nationals) invalidates the fundamental research exclusion. However, the FRE does not include an exclusion for the export, including deemed export, of goods that result from a research project.

For activities conducted by U.S. citizens or permanent residents that provide know how to or involve foreign nationals for a covered technology, export regulations apply and a license from one or more of the U.S. Government agencies may be required before any such activities are initiated. Appropriate time must be allowed to obtain such licenses. For the most current information on export control regulations, go to www.bis.doc.gov.

It is the responsibility of UML faculty, administrators, and staff to be aware of and comply with these laws and with UML's written instructions and procedures. Under no circumstances shall employees or other persons acting on behalf of UML engage in activities that violate U.S. export control laws. UML demands strict compliance with OFAC regulations governing transactions with embargoed countries and activities of concern.

The export control laws and regulations include those administered by the Department of Commerce through its Export Administration Regulations (EAR) and the Department of State through the International Traffic in Arms Regulations (ITAR) as well as those imposed by the Treasury Department through the Office of Foreign Assets Control (OFAC). Willful and knowing violation of these directives is a criminal offense.